

Judiciary of Scotland

Sheriff Principal

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Contents

- A welcome from the Lord President
- The Sheriffdoms
- Essentials of the Role
- Judicial Roles
- Sheriff Principal Responsibilities
- Other Duties & Responsibilities
- Working with Others
- Current Sheriffs Principal
- Eligibility
- Application and Assessment Process
- Legal, Judicial and Personal Qualities
- Remuneration & Benefits
- Further Information
- A message from Sheriff Principal Anwar
- Induction, Training & Support

A foreword from the Lord President

Lord Pentland



The role of Sheriff Principal can trace its lineage back to the 11th Century. Much has changed in that time.

Today, a Sheriff Principal undertakes work of critical importance within the Scottish justice system, working closely with the Lord President in relation to all the courts in Scotland. The role is rewarding and varied.

Sheriffs Principal must display sound leadership and management skills in order to ensure the efficient operation of the courts within the sheriffdom. They must have the intellectual and legal standing to conduct the important appellate functions of the Sheriff Appeal Court. The latter involves being able to work effectively across civil law and practice in addition to summary crime.

A Sheriff Principal has a central part to play in ensuring observance of the Rule of Law in all our local communities. He or she will be expected to make a significant contribution to the justice system.

The Sheriffdoms

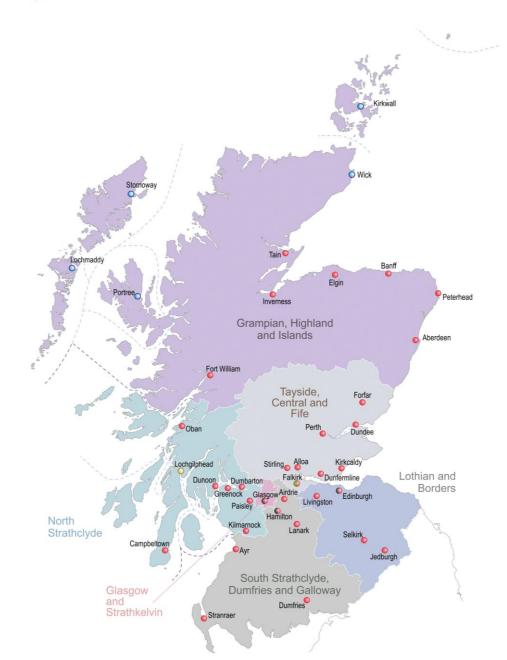
There are six sheriffdoms in Scotland.

Each sheriffdom has a Sheriff Principal charged with a number of duties in respect of the courts for which they are responsible, including in particular a statutory duty "to secure the efficient disposal of business in the sheriff courts of that Sheriffdom."

- Glasgow and Strathkelvin
- Grampian, Highland and Islands
- Lothian and Borders
- North Strathclyde
- South Strathclyde, Dumfries and Galloway
- Tayside, Central and Fife

Scottish Courts and Tribunals Service locations

- Sheriff Court and Justice of the Peace Court
- Sheriff Court only
- Justice of the Peace Court only
- Tribunal Offices
- Office of the Public Guardian



Essentials of the Role

The role of Sheriff Principal is one of critical importance and requires individuals with the intellectual and legal standing to perform the functions of the office.

This requires knowledge and experience in the branch of the law in which they have regularly practised, but they also require to demonstrate the ability to work effectively in other branches of the law that may arise in the course of their judicial duties, including new or emerging areas.

In addition to their legal expertise, Sheriffs Principal are expected to demonstrate sound leadership and management skills, or the ability to acquire such skills in office to ensure the efficient operation of the courts within the sheriffdom.

Judicial Roles

Sheriffs Principal may sit in any civil or criminal case in their sheriffdom. Their primary judicial function is as an appellate judge in the Sheriff Appeal Court. The Court is usually chaired by a Sheriff Principal. Decisions of the Sheriff Appeal Court are binding on itself (when constituted by an equal or smaller quorum) and all Sheriff and JP Courts.

A Sheriff Principal will normally preside over civil appeals involving a bench of three and single bench appeals. Summary criminal appeals, whether against sentence or conviction, will also usually be presided over by a Sheriff Principal.

The Lord President appoints Sheriffs Principal to the offices of President and Vice President of the Sheriff Appeal Court.

Sheriffs Principal conduct significant Fatal Accident Inquiries. The findings and recommendations made in Fatal Accident Inquiries can be highly influential and lead to changes in the practice and procedure of many organisations.

A Sheriff Principal may conduct other inquiries or preside over tribunals under particular statutes. These include inquiries into constituency boundaries and matters such as the registration of residential homes and police disciplinary appeals. Appeals can arise from a range of civil cases, including commercial causes, family actions, adoption, personal injury litigation and licensing.

Criminal appeals are presented in written form and supplemented by oral argument. Some sentence appeals may be straightforward, but a decision must be reached and adequate reasons given quickly. The Sheriff Appeal Court can hear up to ten sentence appeals a day. Each case requires advance preparation. In exceptional criminal cases, and in some civil cases, an appeal may take a day or longer.

Sheriffs Principal have the responsibility of hearing appeals from the Mental Health Tribunal brought under section 320 of the Mental Health (Care and Treatment) (Scotland) Act 2003, from Licencing Boards under section 131 of the Licensing (Scotland) Act 2005 and under section 22 of the Ethical Standards in Public Life etc. (Scotland) Act 2000.

Sheriff Principal Responsibilities

Every Sheriff Principal is responsible to the Lord President for the efficient disposal of business in the sheriffdom. It is the duty of each Sheriff Principal to manage the business in the sheriffdom, identify priorities and resolve problems that impact on the efficiency of the courts within their jurisdiction.

Such duties include:

- Developing, approving and overseeing the administration of the sheriffdom court programme and the allocation of sheriffs/summary sheriffs to each court to secure its implementation
- Arranging and confirming sitting dates and court holidays
- Ensuring that the shrieval complement is adequate and properly deployed
- Allocating sheriffs/summary sheriffs to particular specialisms; e.g. adoptions, commercial and commissary
- Regulating shrieval leave; and dealing with exceptional requests for leave
- Dealing with requests for leave of absence to engage in official activities such as judicial training, meetings with local authorities and other agencies and conferences
- Monitoring cases at avizandum, dealing with requests for writing time and taking steps to address delays in the production of written decisions
- Addressing the demands of the High Court of Justiciary for temporary judges and Tribunals for shrieval assistance
- Dealing with problems when insufficient shrieval cover is available
- Meeting with representatives of the Crown, whether at national, regional or local level, to discuss levels of business and anticipated developments
- Managing the use and deployment of part-time and retired sheriffs

In the administrative tasks, the Sheriff Principal has the assistance of senior managers and their teams (see p9).

The Sheriff Principal is responsible for the pastoral care, welfare and development of sheriffs and summary sheriffs within the sheriffdom. He or she is also involved in discussions regarding career prospects, responding to consultation requests from the Judicial Appointments Board, providing input on the internal transfer of shrieval resources across Scotland and matters of a similar nature.

Other Duties & Responsibilities

Sheriffs Principal may contribute and participate in a diverse and wide range of other activities including:

- Considering and commenting upon proposals for legislative change and taking a leading part in the process of procedural and substantive law reform
- Acting as an nominated judge to investigate complaints made under the Complaints Against the Judiciary (Scotland) Rules 2024 in respect of sheriffs, part-time sheriffs, summary sheriffs and part-time summary sheriffs or justices of the peace. This may be in respect of judicial office holders within their own sheriffdom or elsewhere
- Conducting inquiries into the fitness for office of sheriff officers (section 79 of the Debtors (Scotland) Act 1987)
- Investigating the fitness for office of Justices of the Peace (section 71 of the Criminal Proceedings etc. Reform) (Scotland) Act 2007)
- Acting as a member of the Scottish Civil Justice Council and/or its committees
- Acting as a member of the Scottish Sentencing Council and/or its committees
- Serving on the Judicial Appointments Board for Scotland (JABS)
- Sitting as members of the Advisory Council of Messengers at Arms and Sheriff Officers
- Serving on the Board of the Scottish Courts and Tribunal Services (SCTS)
- Sitting on the Advisory Council Committee of the Judicial Institute
- Chairing the Justices of the Peace Training Committee of the Judicial Institute
- A Sheriff Principal is often called upon to be a member of working groups and committees established for a range of purposes connected to the business of the courts
- Meeting with other Sheriffs Principal and with SCTS executive officials to discuss national strategic, and management issues
- Attending meetings of the Commissioners of the Northern Lighthouse Board. Sheriffs Principal exercise a supervisory role as statutory Commissioners and are called upon to sit on various of the Board's administrative committees
- Civic functions and duties, such as ceremonial duties, attending Royal events, administering oaths (to sheriff officers and procurators fiscal) and presiding over the installations of new sheriffs and summary sheriffs.

Working with Others

The Sheriff Principal has a responsibility for controlling activities within courthouses. This involves regular meetings and liaison with SCTS officials, the Area Procurator Fiscal, Local Faculties of Solicitors and Bar Associations, Social Work Departments, Police Scotland and prisoner escort services.

The Sheriff Principal will also deal with requests from outside agencies (e.g. schools, Law Faculties) for the use of court facilities. The Sheriffs Principals are frequently invited to speak on a range of legal topics at local and national events.

Sheriffs Principal have responsibility for the administration of the Justice of the Peace Courts within the sheriffdom by virtue of the Criminal Proceedings etc. (Reform) (Scotland) Act 2007. The responsibilities include selecting those persons who will sit on the Sheriffdom JP Advisory Committee (with responsibility for recruitment), and the Sheriffdom Training and Appraisal Committees. The Sheriff Principal chairs the JPAC which requires to meet regularly to monitor patterns of business and JP availability. He or she also has a responsibility for interviewing and recommending the appointment of Justices of the Peace.

Current Sheriffs Principal



Aisha Anwar KC (Hon) Glasgow and Strathkelvin



Kate Dowdalls KC South Strathclyde, Dumfries and Galloway



Nigel Ross Lothian and Borders



Gillian Wade KC Tayside, Central and Fife



Sean Murphy KC North Strathclyde



Vacancy Grampian, Highland and Islands

Eligibility

Sheriffs Principal are appointed by His Majesty The King on the recommendation of the First Minister, who receives recommendations from the Judicial Appointments Board for Scotland. The First Minister must consult with the Lord President of the Court of Session, before putting their recommendations forward.

In summary, candidates must:

- have been an advocate or solicitor for at least ten years immediately preceding the appointment; or
- have held judicial office immediately before appointment as: a sheriff; summary sheriff; part-time sheriff; or part-time summary sheriff.

Candidates should check they fully comply with the eligibility requirements for appointment to the role of a Sheriff Principal as set out in <u>section 14</u> of the Courts Reform (Scotland) Act 2014.

Those seeking appointment should be judicial office holders or practitioners of standing, whether King's Counsel, advocates or solicitors. They should preferably have considerable court experience.

Application and Assessment Process

The Judicial Appointments Board for Scotland (JABS) is an independent non-departmental public body whose statutory role as set out in the Judiciary and Courts (Scotland) Act 2008 is to make recommendations for judicial appointments solely on merit.

Candidates for the role of Sheriff Principal go through a holistic application process which tests the level of skill, experience and professionalism required to perform the duties of the office. JABS encourages diversity and particularly welcomes applications from groups currently under-represented in the judiciary. The principles of fair and open competition will apply and recommendation for appointment will be made solely on merit.

Each applicant to judicial office will be assessed against a set of skills and qualities which are necessary for the role:



Legal, Judicial and Personal Qualities

In reaching its decision on making a recommendation for appointment, the Judicial Appointments Board for Scotland will assess candidates through the application and interview process. The following is a summary of the skills, abilities and characteristics which are required for the role of Sheriff Principal and which will be tested during the process.

A Sheriff Principal candidate will have a high level of legal knowledge, experience and standing in the area(s) of law in which they specialise. In particular, they will have very strong skills in the analysis and interpretation of the law, which will be necessary for considering and deciding cases in the Sheriff Appeal Court (SAC). They will also have the ability to deal effectively with other areas of the law in which they are not expert, including new areas.

They will have, or will be able to demonstrate their ability to develop, strong leadership qualities and skills. They will have the capacity to think strategically and contribute, with the Lord President and the other Sheriffs Principal, to the development and successful operation of the courts in Scotland.

They will have, or will be able to develop quickly, the skills and abilities to manage effectively and efficiently the business of the sheriffdom, as well as being able to manage and conduct their own case load.

Candidates will have excellent judgement demonstrating they are able to arrive at sound decisions in cases and more generally in performing the functions of Sheriff Principal. Being impartial, fair and open-minded is important to this role.

Having well developed and effective communication skills and other personal qualities, including being able to engage with a broad spectrum of people and organisations, is necessary for the role. Candidates need to possess the ability to produce written work, including decisions of the SAC, which are clear, concise, well-reasoned and completed timeously.

The ability to work in a collegiate manner and to develop good working relationships with fellow Sheriffs Principal and justice partners is an important requirement of the role.

This is a very challenging and busy role which requires considerable personal resilience, given the workload and travel requirements.

Remuneration & Benefits

- A Sheriff Principal is paid £187,743 per annum. The Senior Salaries Review Board (SSRB) will conduct a major review of judicial salaries in 2025, with particular attention given to the role of a Sheriff Principal.
- A new reformed Judicial Pension Scheme was introduced for all judicial office holders in April 2022. Details of the 2022 scheme can be found at www.gov.uk/government/publications/judicial-pension-scheme-2022-guide.
- The mandatory retirement age for judicial office holders is 75.
- Sheriffs Principal are entitled to 7 weeks leave each year.
- There are a range of policies to support judicial post holders including Occupational Health, Support Services, an Online
 & Social Media Guide and a Menopause Guide, alongside others.

Further Information

- Sheriffs Principal are expected to have a base court in their sheriffdom. In Grampian, Highland and Islands the Sheriff Principal will have his or her base at Inverness or Aberdeen and are expected to work from their base court for a significant proportion of time.
- The Sheriff Principal of Grampian, Highland and Islands should expect to travel widely throughout the sheriffdom, including to the more remote and island courts, some of which have limited public transport links.
- He or she will also be required to travel to Edinburgh on a regular basis for Sheriff Appeal Court sittings and for meetings with the Lord President or as a member of committees and working groups.
- Travel more widely to other sheriffdoms is also required from time to time, in particular to attend quarterly meetings with fellow Sheriffs Principal.

A message from Sheriff Principal Aisha Anwar



I followed a fairly conventional legal career. Having chosen to specialise in commercial litigation, I worked with two large commercial practices, eventually becoming partner and Head of Litigation for Scotland in my last firm.

I had aspired to become a member of the judiciary since I first walked into Edinburgh Sheriff Court for a mock plea in mitigation, during my diploma in legal practice. At the time, however, I believed that this was an unattainable aspiration.

I did not appear to fit the demographic from which sheriffs might historically have been selected; a mother of young children, from a working class and ethnic minority background with a career specialising in the narrow field of commercial litigation. The shrieval bench was for others.

However, the creation of the Judicial Appointments Board removed the mystery which had shrouded the appointments process. I attended one of the open evenings hosted by members of the Board. I reviewed both the guidance notes and the application form. I knew that the process was going to be demanding, but I decided to throw my hat in the ring.

I have been through the recruitment process three times: I was appointed as a part-time Sheriff in 2011, appointed to a full time position in 2014 and appointed as Sheriff Principal in 2020.

The application form is thorough and requires the applicant to give careful thought to his/her qualities and qualifications for the post. Selecting appropriate written work for submission caused me to reflect upon my legal ability, my powers of reasoning and my style of writing. The interview itself is rigorous - the use of case studies provides a fair means of testing the applicant's judicial qualities.

It provides the applicant with an opportunity to demonstrate his or her ability to make decisions and more importantly, to justify them. The questions by the panel are challenging, wide-ranging and insightful. In short, I found the process on each occasion to be robust but fair, transparent and surprisingly enjoyable.

Sheriffs Principal perform management and appellate functions, and a whole host of miscellaneous duties. The Sheriff Principal sits in the Sheriff Appeal Court and deals with civil and criminal appeals. He/she also has a statutory responsibility for ensuring the efficient disposal of business in the courts in their sheriffdom. No two days as Sheriff Principal are ever the same; the role is so varied that you can find yourself drafting practice notes and commenting upon legislative changes one day, and sitting in the Sheriff Appeal Court, dealing with welfare and training issues for sheriffs or creating new pilot projects to improve the efficiency of the courts the next. The Sheriffs Principal also sit on a range of Boards, at the request of the Lord President, such as the SCTS Board or indeed the JABS. It is a demanding role but one that offers a real opportunity to work meaningfully with others to improve the justice system.

I consider it an enormous privilege to serve as a member of the Scottish judiciary - the work is rewarding and varied, stimulating and challenging. There is no doubt that early life on the Bench involves a huge learning curve and requires you to turn your mind to areas of the law in which you may have little or no experience. But that is also one of its attractions. There is no shortage of more experienced members of the judiciary ready to assist and guide those who are newly appointed.

I would encourage anyone with a keen interest in justice and people and who enjoys interpreting and applying the law, to consider applying for judicial office.



Induction, Training & Support

Measures are in place during the early stages of a new Sheriff Principal's appointment. They are intended to provide support and reassurance to any new appointees and to accord with best international practice.

- The Judicial Institute will provide induction training up to five days. This will include presentations, problem exercises and facilitated discussions on a range of topics, tailored to the needs of the new Sheriff Principal. Modules are designed to enhance individual management and administration skills including HR training and strategic planning, judicial leadership, the creation and development of legal policy for the management of the shrieval judiciary and other similar subjects. The programme also includes presentations on the work of the Sheriff Appeal Court (SAC), sitting in the SAC, and the material on the Judicial Hub for appeal sheriffs.
- The Sheriff Principal member of the Advisory Council of the Judicial Institute, in conjunction with the Director of the Judicial Institute, will create a role specific induction programme for the new Sheriff Principal, taking account of the nature of the position and the Sheriff Principal's own strengths and potential weaknesses. Specific additional training in a particular area may be provided.
- New appointees are required to complete at least three sitting-in days with an experienced Sheriff Principal in order to familiarise themselves with the judicial environment and the workings of the Sheriff Appeal Court, to observe the work undertaken, and have the opportunity to discuss how best to approach, and organise for, the challenges ahead.
- A newly appointed Sheriff Principal will be offered the assistance and guidance of a mentor, drawn from among the other Sheriffs Principal, to whom he or she can turn on a confidential basis for support in the early period of his or her appointment. The scheme is voluntary. If a newly appointed Sheriff Principal opts for a mentor, the scheme envisages a mentoring relationship which lasts for a minimum of one year. The relationship may last for a judicial career.
- A new Sheriff Principal will have the assistance and support of the other Sheriffs Principal and have access to the advice and guidance of the Lord President and the Lord Justice Clerk.
- The Judicial Institute provides an annual programme of courses for serving judges on subjects relevant to the work of judicial office holders. The Institute also maintains the Judicial Hub which is the judicial intranet. The Hub hosts a wide range of written guidance and other materials designed to support judges in their daily work. It is regularly updated to assist judges to keep track of current developments. In addition to the induction course, Sheriffs Principal are free to attend many of the other courses for serving judges run by the Institute as part of its annual programme of judicial training. The successful candidate can expect direct support from the Judicial Office in the exercise of their judicial leadership responsibilities.



Judicial Office for Scotland 2025